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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/807,013	
	Filing Date	03/22/2004	
	First Named Inventor	Dojin Kim	
	Art Unit	1754	
	Examiner Name	N/A	
Total Number of Pages in This Submission	5	Attorney Docket Number	20030-02USA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copies of Cited References B1-B2 and C1-C2; and Return Receipt Postcard
Remarks 		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Joseph Hyosuk Kim, Ph.D. Reg. No. 41,425 JHK Law	
Signature		
Date	July 9, 2004	

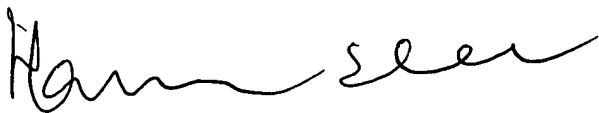
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Typed or printed name	Harry Sung Lee		
Signature		Date	July 9, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

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Harry Lee

Applicant: Do Jin KIM et al.

Serial No.: 10/807,013

Filed: March 22, 2004

Title: **METHOD FOR SYNTHESIZING
CARBON NANOTUBES BY USING
MAGNETIC FLUIDS**

Examiner: N/A

Art Unit: 1754

Attorney Docket No.: 20030-02USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed below and on the attached Forms PTO/SB/08A and PTO/SB/08B (substitutes for form 1449/PTO) are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Copies of the cited documents are enclosed.

English Language Patent Documents:

1. US 6,350,488 B1 (February 26, 2002)
2. US 2002/0102353 A1 (August 1, 2002)

3. WO 03/018466 A2 (March 6, 2003)
4. WO 00/73204 A1 (December 7, 2000)

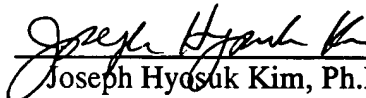
English Language Non-Patent Documents:

1. CHOI et al., Effects of ammonia on the alignment of carbon nanotubes in metal-assisted thermal chemical vapor deposition, Journal of the European Ceramic Society, 2001, 21:2095-2098
2. MAYNE et al., Pyrolytic production of aligned carbon nanotubes from homogeneously dispersed benzene-based aerosols, Chemical Physics Letters, 2001, 338:101-107

It is respectfully requested that the Examiner indicate consideration of the cited references by returning copies of the attached Forms PTO/SB/08A and PTO/SB/08B with initials or other appropriate marks, and that the references be made of record as cited references in the application.

Respectfully submitted,

July 9, 2004
Date



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Substitute for form 1449/PTO

(Use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known

Application Number	10/807,013
Filing Date	March 22, 2004
First Named Inventor	Do Jin KIM
Art Unit	1754
Examiner Name	N/A
Attorney Docket Number	20030-02USA

U. S. PATENT DOCUMENTS

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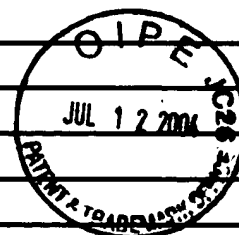
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/807,03
Filing Date	March 22, 2004
First Named Inventor	Do Jin KIM
Art Unit	1754
Examiner Name	Not Available
Attorney Docket Number	20030-02USA



Sheet 1

of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	CHOI et al., Effects of ammonia on the alignment of carbon nanotubes in metal-assisted thermal chemical vapor deposition, Journal of the European Ceramic Society, 2001, 21:2095-2098	
	C2	MAYNE et al., Pyrolytic production of aligned carbon nanotubes from homogeneously dispersed benzene-based aerosols, Chemical Physics Letters, 2001, 338:101-107	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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